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[11483-122]

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Aguais et al.

Confirmation No.: 1722

Serial No.: 10/051,905

Group Art Unit: 2171

Filed: January 17, 2002

Examiner: Richard C. Fults

For: SYSTEM AND METHODS FOR VALUING AND MANAGING THE RISK OF

CREDIT INSTRUMENT PORTFOLIOS

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

November 23, 20

Dat

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed herewith is a Statement of Dan Rosen for the above-captioned U.S. patent application.

Respectfully submitted,

DATE: 11 23 04

Steven E. Koffs Registration No : 3

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